Express Mail No: EV 419716875 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

09/478,299

Applicants:

John L. Schenk

Filed:

January 5, 2000

Title:

Method of Cryopreserving Selected Sperm Cells

Group Art Unit:

1654

Examiner:

M. Meller

Assignee:

XY, Inc.

Attorney Docket:

22091-701

Customer No.:

33549

CERTIFICATE OF EXPRESS MAILING

- I, Cheryl A. Swanson, hereby certify to the truth of the following items:
- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached a Request for Continued Examination under 37 C.F.R. §1.114, (RCE) Transmittal form PTO/SB/30 with the United States Postal Service as Express Mail, postage prepaid, for mailing to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated this /4 day of June, 2004.

Cheryla. Swanson

PTO/SB/30 (09-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Request 09/478,299 Application Number for January 5, 2000 Filing Date Continued Examination (RCE) John L. Schenk First Named Inventor Transmittal. Address to: 1654 Art Unit Mail Stop RCE Commissioner for Paten's M. Meller Examiner Name P.O. Box 1450 Alexandria, VA 22313-1450 22091-701 Attorney Docket Number This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. i. Consider the arguments in the Appeal Brief or Rely Brief previously filed on Other ii. ~ Enclosed Amendment/Reply i. Information Disclosure Statement (IDS) Affidavit(s)/ Declaration(s) ij. 2. Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. RCE fee required under 37 CFR 1.17(e) Extension of time fee (37 CFR 1.136 and 1.17) Other iii. Check in the amount of \$ 1,720.00 enclosed C. Payment by credit card (Form PTO-2038 enclosed)

SIG	NATURE OF APPLICANT, AT	TORNEY, OR AGENT RE	QUIRED	
Name (Print/Type) Luke Santangelo		Registrat	Registration No. (Attorney/Agent) 31,997	
Signature Auhec	DandX	Date	12 Tune 2004	
	CERTIFICATE OF MAIL	ING OR TRANSMISSION		
Office on the date shown below.	for Patents, P. O. Box 1450, Alexan	s Postal Service with sufficient padria, VA 22313-1450 or facsimi	postage as express mail in an envelope le transmitted to the U.S. Patent and Trademark	
Name (Print/Type) Cheryl A. Swanson	20 5			
	U- Swansen	Date	6/14/2004	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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22071-7

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AMENDMENT AND REQUEST FOR RECONSIDERATION UNDER 37 C.F.R. § 1.114

I. INTRODUCTORY REMARKS

The Applicant hereby submits the following amendments and explanation in this case pursuant to 37 C.F.R. 1.114, as a Request for Continued Examination. This is filed in response to the action dated December 24, 2003. To respond to all issues, this amendment is submitted in accordance with the amendment directions provided in 37 CFR 1.121 as now existing. Each amendment is believed to have been made in accordance with Rule 121, however, should any unintended informality exist, it is requested that the undersigned be contacted by telephone so that it may be resolved as expediently as possible.

This Request for Continued Examination is appropriate because:

- i) this request is made prior to payment of the issue fee [37 CFR 1.114 (a)(1)];
- ii) the application has not been abandoned;
- iii) no notice of appeal has been filed [37 CFR 1.114 (a)(3)];
- iv) prosecution on the application is closed by either a final action or issuance of a notice of allowance [37 CFR 1.114 (b)];

- v) this application is not a provisional application [37 CFR 1.114 (e)(1)];
- vi) this is a not utility patent application filed before June 8, 1995 [37 CFR 1.114 (e)(2)];
- vii) this application is not an international application filed under 35 U.S.C. 363 before June 8, 1995 [37 CFR 1.114 (e)(3)];
- viii) this application is not a design patent application [37 CFR 1.114 (e)(4)]; and
- ix) this is not a patent under reexamination [37 CFR 1.114 (e)(5)].

Amendments to the Claims begin on page 3 of this paper.

Remarks begin on page $\underline{\underline{Q}}$ of this paper.